

Heuristics in SMT Solving: To Learn or not to Learn?

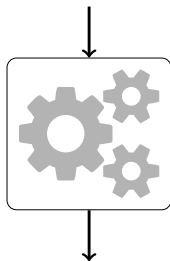
Erika Ábrahám
with Gereon Kremer

RWTH Aachen University, Germany

ICMS'18, 26 July 2018

What is this talk about?

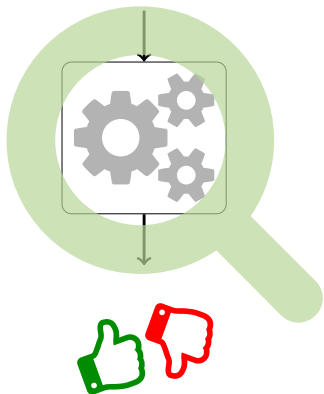
$$\neg \mathbf{a} \wedge \mathbf{b} \vee \mathbf{c}$$
$$\mathbf{x}^2 + \mathbf{x}_2 \quad \sqrt{\varphi}$$



What is this talk about?

$\neg \mathbf{a} \wedge \mathbf{b} \vee \mathbf{c}$

$\mathbf{x}^2 + \mathbf{x}_2 \quad \sqrt{\varphi}$



What is the role of heuristics
in SMT solving?

Are there potentials for
learning?

The satisfiability problem

Propositional logic

Formula: $(a \vee \neg b) \wedge (\neg a \vee b \vee c)$

Satisfying assignment: $a = \text{true}, b = \text{false}, c = \text{true}$

It is perhaps the most well-known NP-complete problem [Cook'71].

The satisfiability problem

Propositional logic

Formula: $(a \vee \neg b) \wedge (\neg a \vee b \vee c)$

Satisfying assignment: $a = \text{true}, b = \text{false}, c = \text{true}$

It is perhaps the most well-known NP-complete problem [Cook'71].

Non-linear real algebra (NRA)

Formula: $(x - 2y > 0 \vee x^2 - 2 = 0) \wedge x^4 y + 2x^2 - 4 > 0$

Satisfying assignment: $x = \sqrt{2}, y = 2$

There are some hard problem classes... non-linear integer arithmetic is even undecidable.

SAT solvers are full of heuristics, perhaps the two most successful ones being:

- dynamic variable ordering (VSIDS)
- resolution driven by enumeration/propagation search, learning resolvents (CDCL), forgetting learnt clauses

SAT solvers are full of heuristics, perhaps the two most successful ones being:

- dynamic variable ordering (VSIDS)
- resolution driven by enumeration/propagation search, learning resolvents (CDCL), forgetting learnt clauses

Research threads on machine learning in SAT solving: predict satisfiability, variable ordering, determine values for decision variables, clause forgetting,...

SAT solvers are full of heuristics, perhaps the two most successful ones being:

- dynamic variable ordering (VSIDS)
- resolution driven by enumeration/propagation search, learning resolvents (CDCL), forgetting learnt clauses

Research threads on machine learning in SAT solving: predict satisfiability, variable ordering, determine values for decision variables, clause forgetting,...

Problem: how to extract characteristic information for training sets?

(Full/less) lazy SMT solving

(Full/less) lazy SMT solving

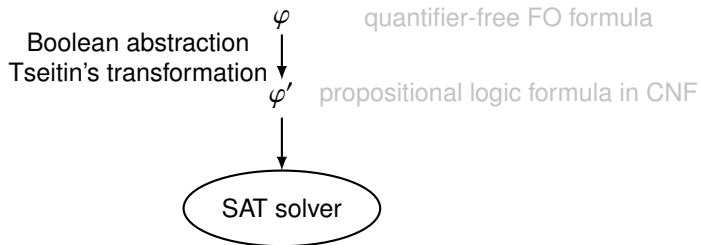
φ

quantifier-free FO formula

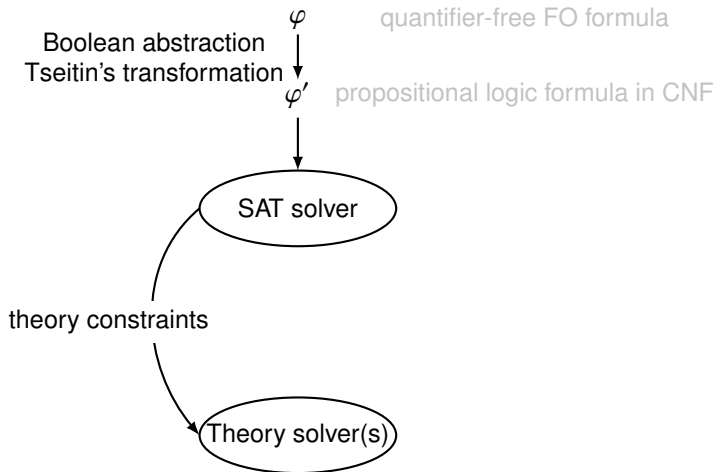
(Full/less) lazy SMT solving



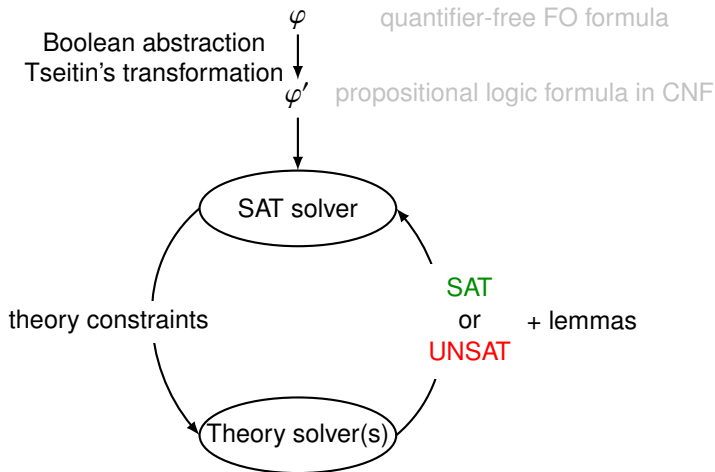
(Full/less) lazy SMT solving



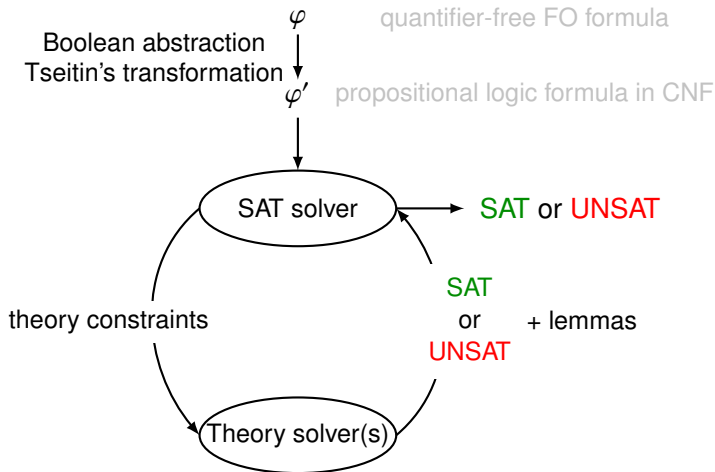
(Full/less) lazy SMT solving



(Full/less) lazy SMT solving



(Full/less) lazy SMT solving



General SMT solving heuristics

- A particularly interesting case: [variable ordering](#).

In SAT solving, VSIDS is very successful, but the variable ordering at the Boolean level is not connected to the theory solver.

Also the variable ordering in arithmetic theory solvers is usually statically determined, independently of the problem at hand.

- A particularly interesting case: [variable ordering](#).

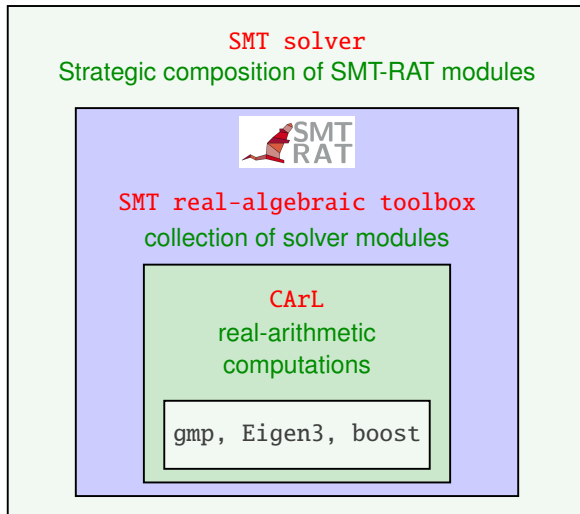
In SAT solving, VSIDS is very successful, but the variable ordering at the Boolean level is not connected to the theory solver.

Also the variable ordering in arithmetic theory solvers is usually statically determined, independently of the problem at hand.

- Other cases: preprocessing, restarts, constraint ordering, value ordering, ...

Some SMT solvers for arithmetic theories

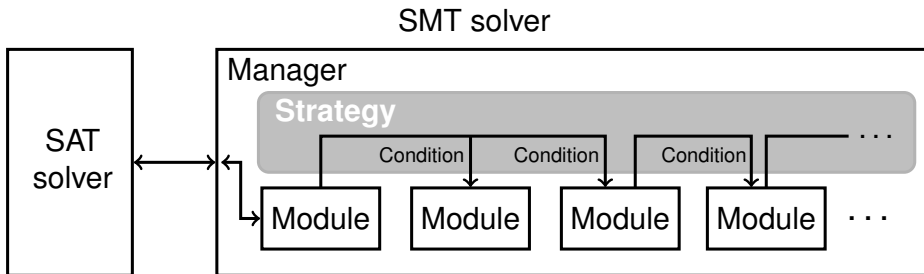
- AProVE (RWTH Aachen University, Germany)
- CVC4 (New York and Iowa, USA)
- MathSAT 5 (FBK, Italy)
- MiniSmt (University of Innsbruck, Austria)
- Boolector (JKU, Austria)
- SMT-RAT (RWTH Aachen University, Germany)
- veriT+Redlog (CNRS Inria, France and MPI Informatics, Germany)
- Z3 (NYU, Microsoft Research, USA)
- Yices 2 (SRI International, USA)
- ...



- MIT licensed source code: github.com/smtrat/smtrat
- Documentation: smtrat.github.io

Strategic composition of solver modules in SMT-RAT

- Strategy: directed graph over modules with guarded edges
- Guard: may talk about the formula forwarded to backends
- Backend-calls: passed to all enabled successors → parallelism



Solver modules in SMT-RAT

CArL library for basic arithmetic datatypes and computations [NFM'11, CAI'11, Sapientia'18]

Basic modules

SAT solver

CNF converter

Preprocessing/simplifying modules

Non-algebraic decision procedures

Bit-vectors

Bit-blasting

Equalities and uninterpreted functions

Pseudo-Boolean formulas

Interval constraint propagation

Algebraic decision procedures

Fourier-Motzkin variable elimination

Simplex

Subtropical satisfiability

Gröbner bases [CAI'13]

MCSAT (FM,VS,CAD)

Cylindrical algebraic decomposition [CADE-24, SC²'17, PhD Loup, PhD Kremer]

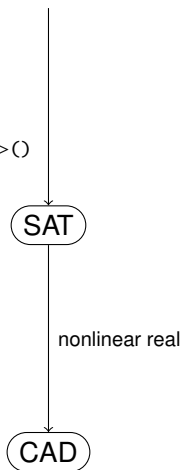
Virtual substitution [FCT'11, SC²'17, PhD Corzilius]

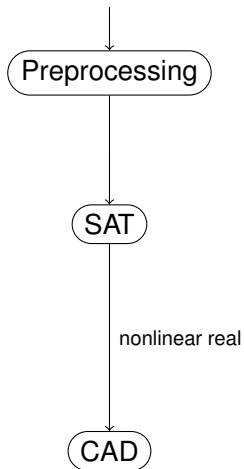
Generalized branch-and-bound [CASC'16]

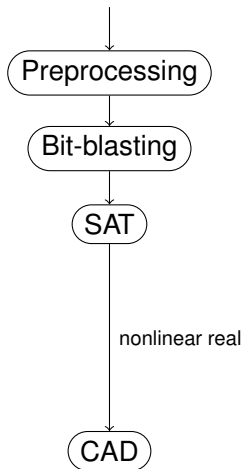
Cube tests

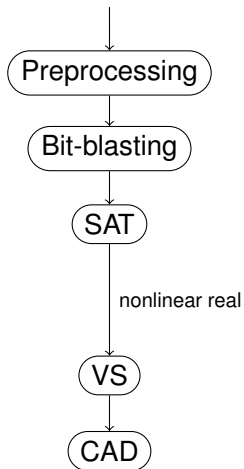
SMT-RAT strategies

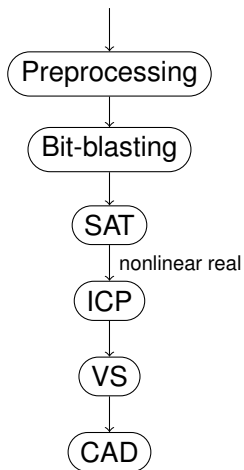
```
class myStrategy: public Manager {  
  myStrategy(): Manager() {  
    setStrategy(  
      addBackend<SATModule<SATSettings>>(  
        addBackend<CADModule<CADSettings>>()  
      )  
    );  
  }  
};
```

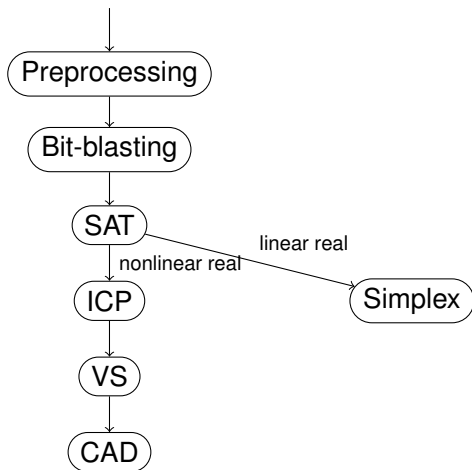




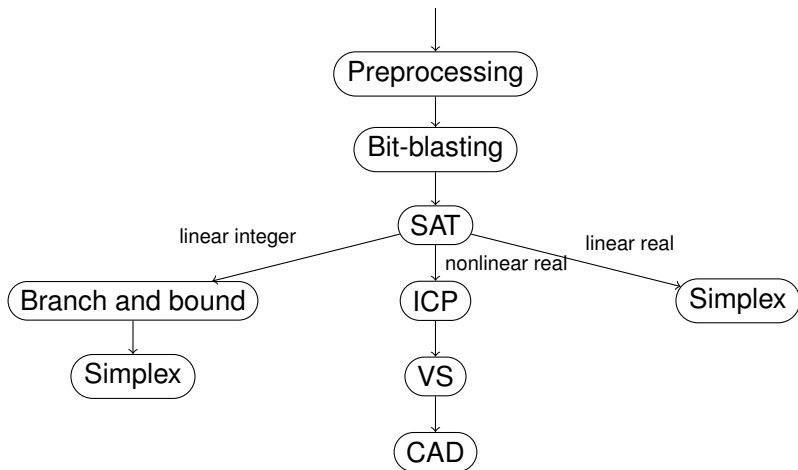


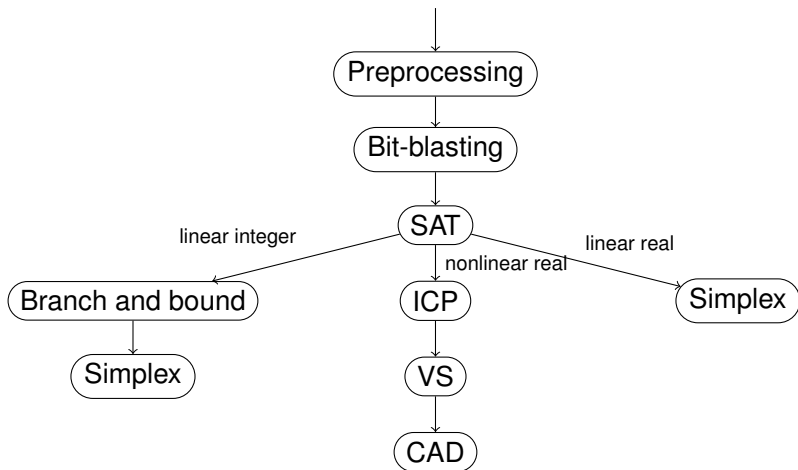






SMT-RAT strategies

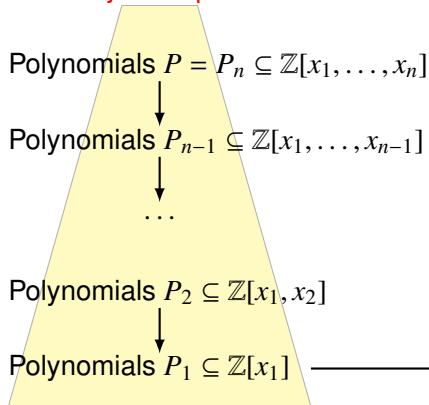




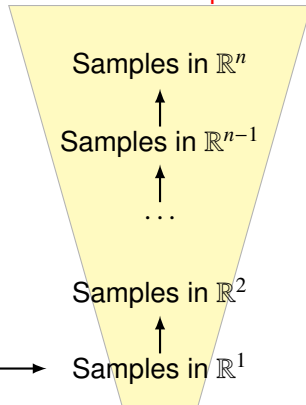
Which strategy to use for which problem?

The cylindrical algebraic decomposition (CAD) method

Projection phase

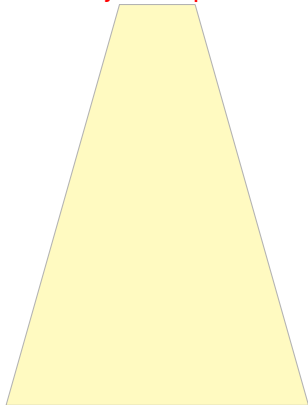


Construction phase

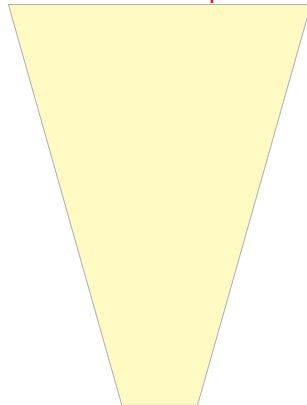


Heuristics in the CAD method

Projection phase

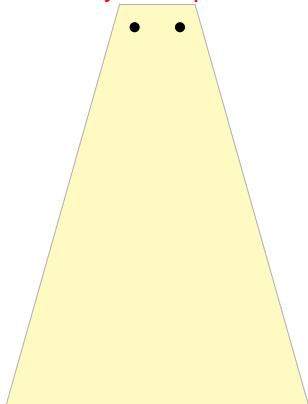


Construction phase

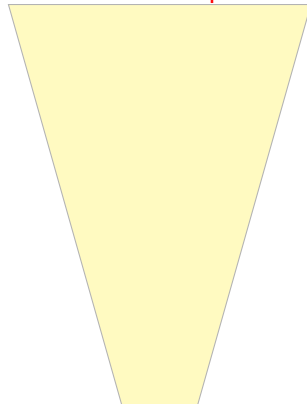


Heuristics in the CAD method

Projection phase



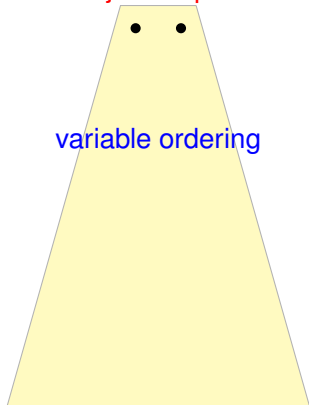
Construction phase



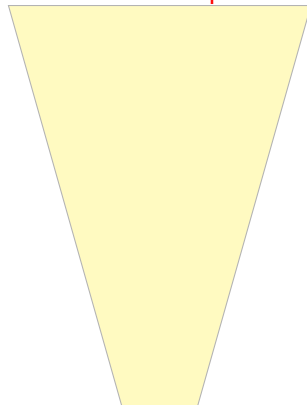
Heuristics in the CAD method

Projection phase

variable ordering

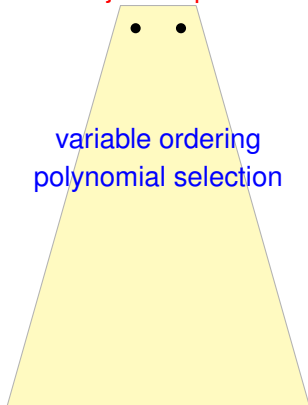


Construction phase

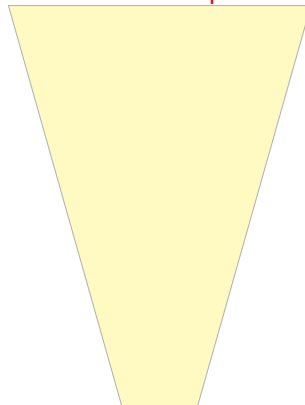


Heuristics in the CAD method

Projection phase



Construction phase



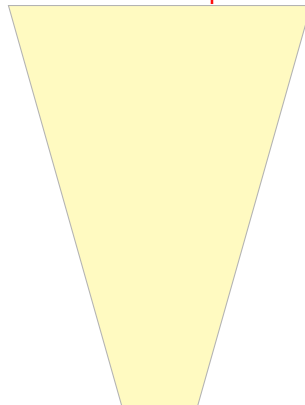
Heuristics in the CAD method

Projection phase



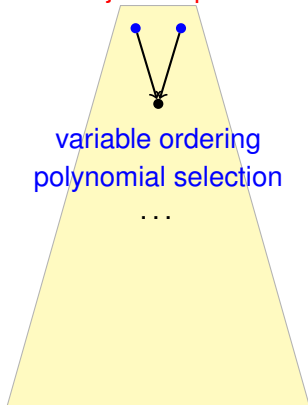
variable ordering
polynomial selection

Construction phase

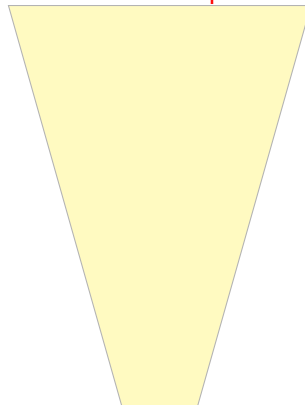


Heuristics in the CAD method

Projection phase

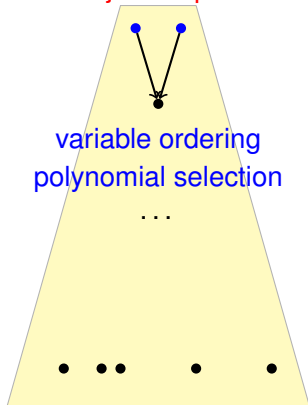


Construction phase

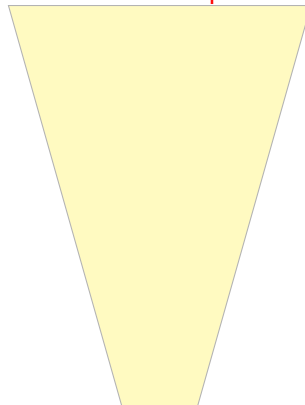


Heuristics in the CAD method

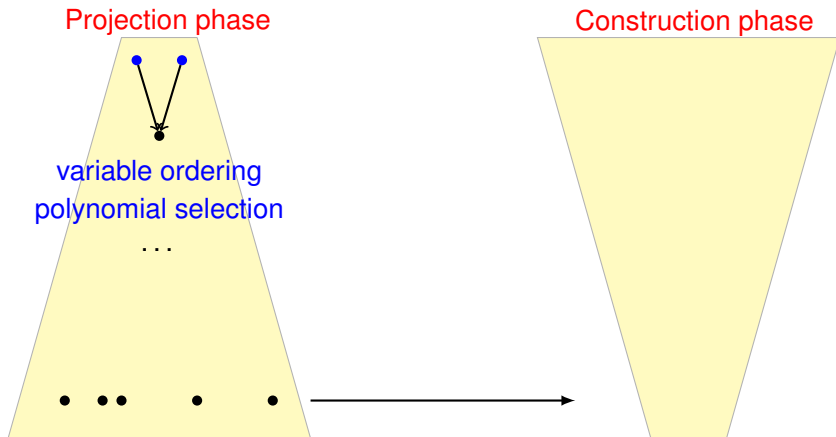
Projection phase



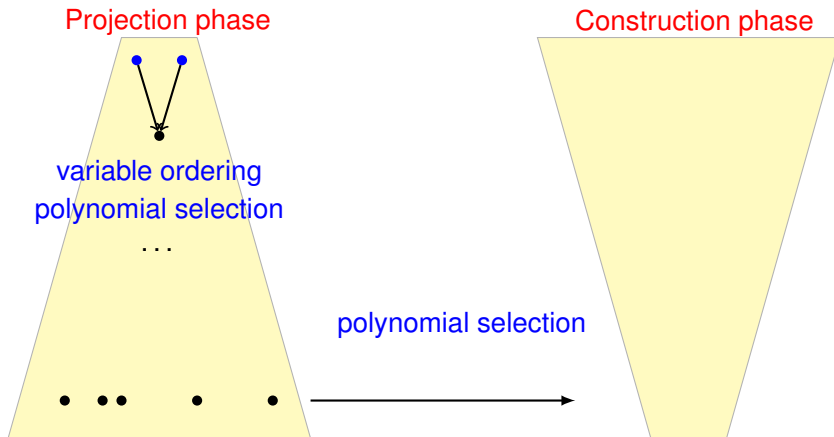
Construction phase



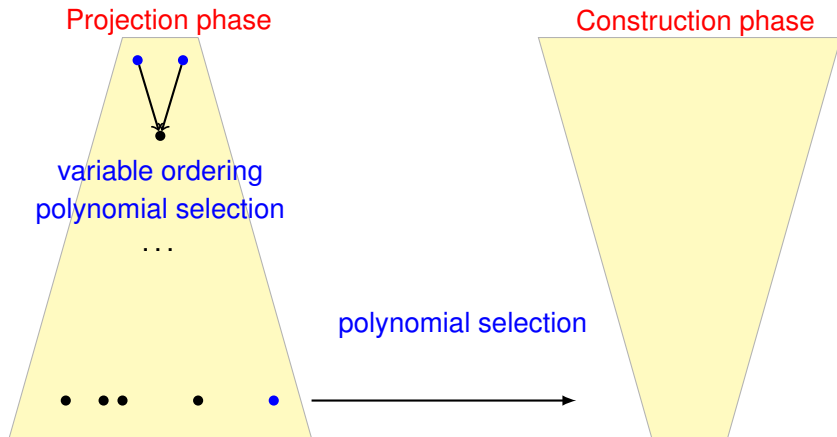
Heuristics in the CAD method



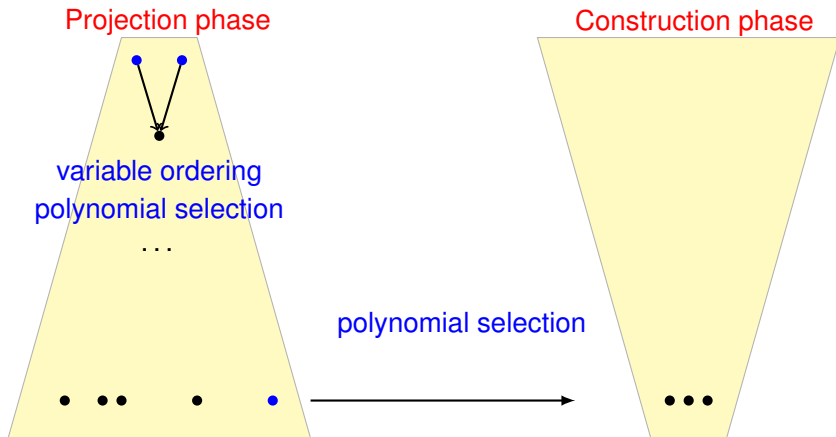
Heuristics in the CAD method



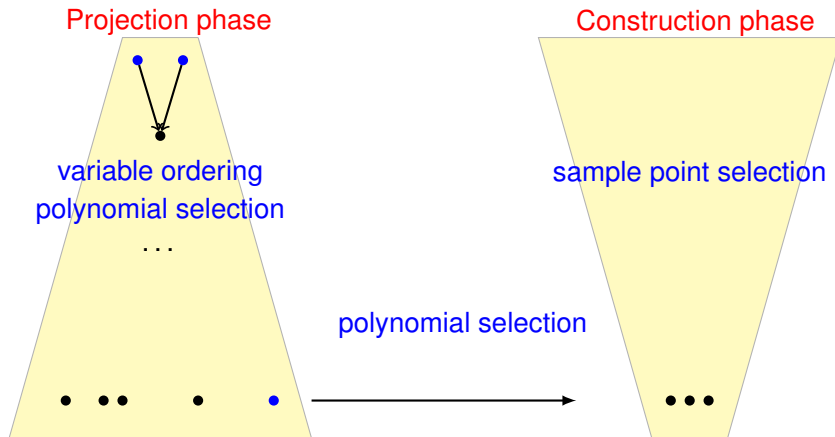
Heuristics in the CAD method



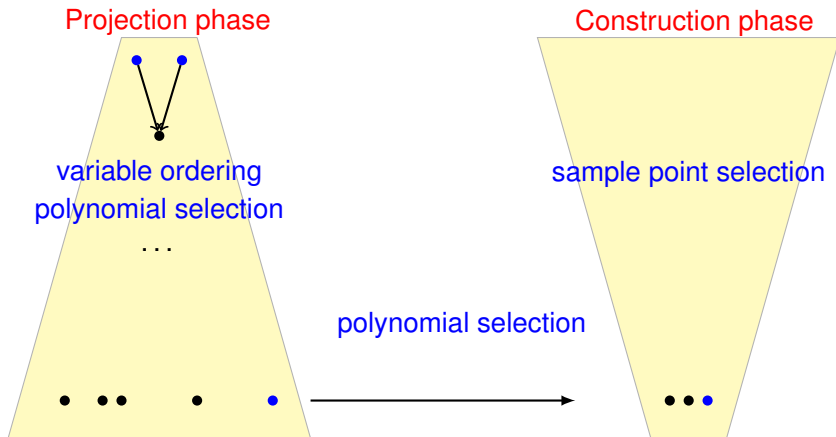
Heuristics in the CAD method



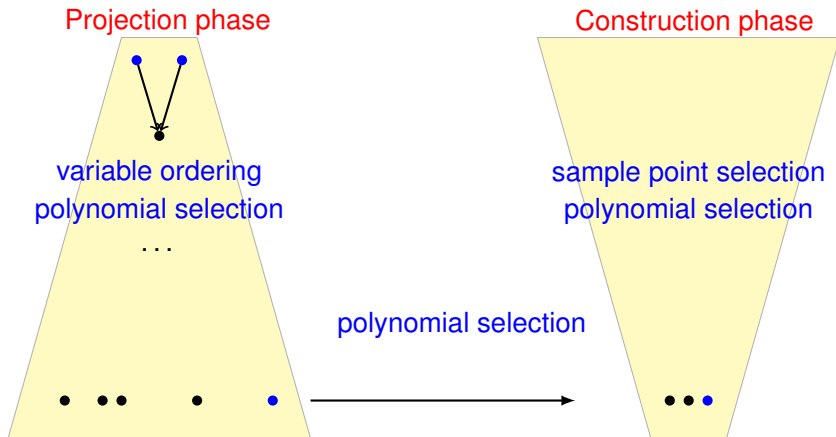
Heuristics in the CAD method



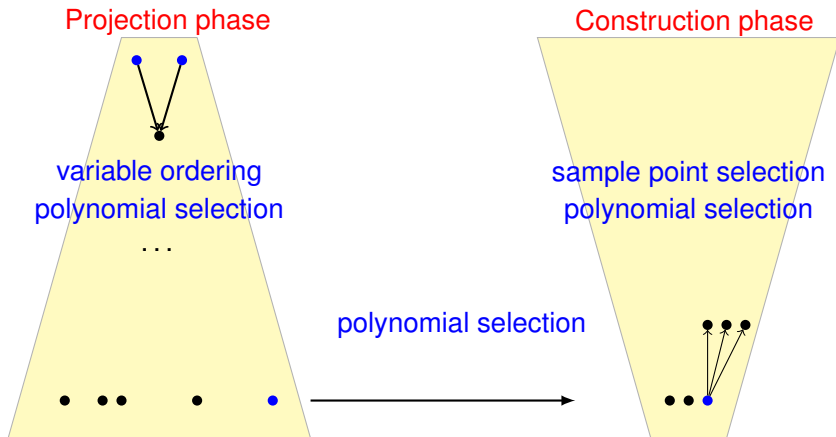
Heuristics in the CAD method



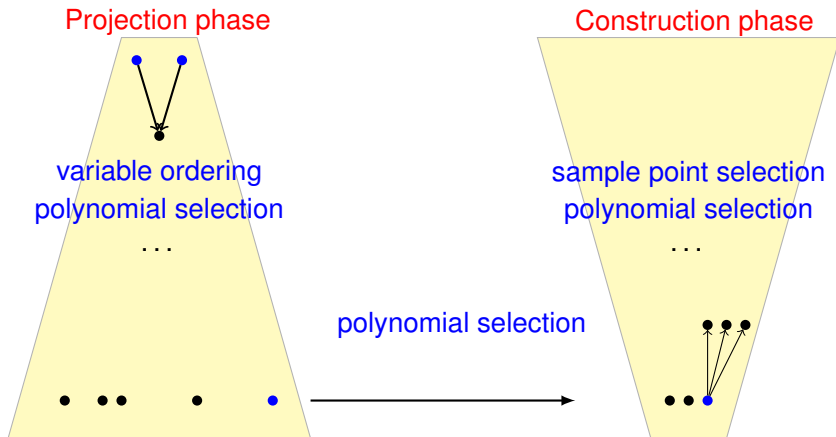
Heuristics in the CAD method



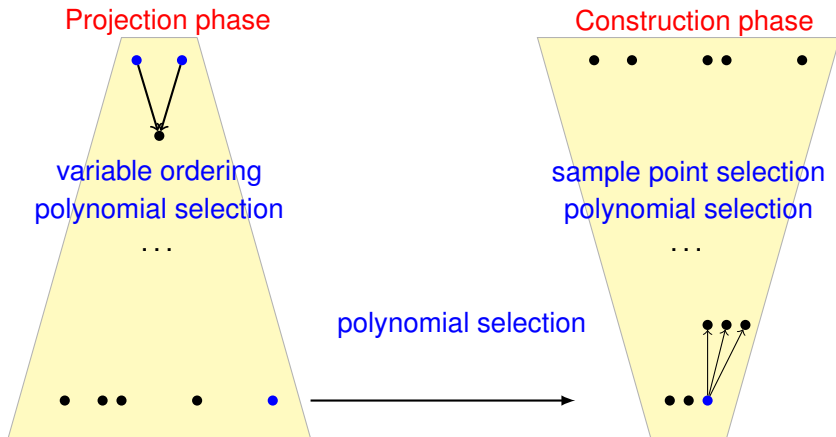
Heuristics in the CAD method



Heuristics in the CAD method



Heuristics in the CAD method



Experimental results: Projection order

11354 QF_NRA benchmarks, TO: 60 secs

C: complexity

S: single projection

P: paired projection

L_i : level in increasing order

L_d : level in decreasing order

| Solver | solved | | runtime |
|-------------|-------------|--------|---------|
| CAD C | 8075 | 71.1 % | 1.13 |
| CAD SC | 8074 | 71.1 % | 1.13 |
| CAD PC | 8076 | 71.1 % | 1.12 |
| CAD L_i C | 8135 | 71.6 % | 1.28 |
| CAD L_d C | 8135 | 71.6 % | 1.18 |

Experimental results: Sampling

11354 QF_NRA benchmarks, TO: 60 secs

| Solver | solved | | average runtime |
|-----------------------------|---------------|--------|------------------------|
| CAD midpoint | 8147 | 71.8 % | 1.21 |
| CAD int closest to midpoint | 8155 | 71.8 % | 1.19 |
| CAD smallest int | 8158 | 71.9 % | 1.22 |
| CAD largest int | 8144 | 71.7 % | 1.20 |
| CAD int close to 0 | 8154 | 71.8 % | 1.20 |
| CAD int far from 0 | 8146 | 71.7 % | 1.21 |

Experimental results: Lifting

11354 QF_NRA benchmarks, TO: 60 secs

T: type (integer, rational, algebraic)

S: size

A: absolute value

L: level

| Solver | solved | | average runtime |
|---------|-------------|--------|-----------------|
| CAD TSA | 8118 | 71.5 % | 1.21 |
| CAD S | 8121 | 71.5 % | 1.22 |
| CAD T | 8138 | 71.7 % | 1.20 |
| CAD LTS | 8143 | 71.7 % | 1.22 |
| CAD LT | 8144 | 71.7 % | 1.20 |

- Can we draw the conclusion that these heuristics perform equally?

- Can we draw the conclusion that these heuristics perform equally?
Perhaps... but perhaps they perform differently on different problem types.
In the latter case **learning** could strengthen these methods.

- Can we draw the conclusion that these heuristics perform equally?
Perhaps... but perhaps they perform differently on different problem types.
In the latter case **learning** could strengthen these methods.

There are probably great potentials in learning heuristics, but a number of problems need to be solved before we can explore these possibilities.